



Expression and prognostic value of PD-L1 in non-schistosoma-associated urinary bladder squamous cell carcinoma

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Background: Non-schistosoma-associated urinary bladder squamous cell carcinoma (SqCC) has low incidence and is associated with chronic inflammation. Due to its unique etiology and pathology, expression of programmed cell death ligand 1 (PD-L1) in SqCC could be different from that of urothelial carcinoma, which may contribute to different responses to immunotherapy. In this study, we intended to explore the expression profile and prognostic value of PD-L1 in non-schistosoma-associated urinary bladder SqCC under the consideration of tumor-infiltrating lymphocytes' (TILs) density.

Methods: We conducted a retrospective study to review 604 bladder cancer patients who received radical cystectomy (RC) from 2009 to 2013 in Peking University First Hospital. We enrolled 67 bladder SqCC patients in total, including pure SqCC (n=19) and mixed SqCC (n=48, with urothelial carcinoma). PD-L1 protein expression and TILs density were evaluated by immunohistochemistry.

Results: Nine female and 58 male patients (median age 67.4 years) were enrolled in the present study. There were 15 stage T1–2 patients and 52 stage T3–4 patients. 27 patients had N1–2 lymph node metastasis. Overall, 61.2% cases were PD-L1-positive. Dense TILs coincided with higher PD-L1 expression rate. Median survival time of PD-L1 positive cases was significantly higher than negative cases (P=0.026). During multivariate analysis, positive PD-L1 expression and dense TILs were independent protective factors affecting overall survival (OS, PD-L1: P=0.022; TILs: P=0.010) and progression free survival (PFS, PD-L1: P=0.018; TILs: P=0.009).

Conclusions: PD-L1 expression and dense TILs were frequently detected in urinary bladder SqCC tumors. Positive PD-L1 expression and dense TILs were correlated with better survival outcomes in non-schistosoma-associated urinary bladder SqCC. The immunotherapy targeting PD-L1 might be helpful to bladder SqCC patients.

Keywords: Bladder cancer; squamous cell carcinoma (SqCC); tumor-infiltrating lymphocytes (TILs); programmed death ligand-1; survival

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Introduction

Bladder cancer represents the most common malignant neoplasm of the urinary tract. Bladder cancer is histopathologically heterogeneous and comprises urothelial carcinoma (UC, more than 90%), squamous cell carcinoma (SqCC), adenocarcinoma and neuroendocrine tumors (1). Accounting for less than 5% of all bladder cancers, SqCC is of low incidence (2), with limited published data. Although surgery is the standard therapy, bladder SqCC is an independent predictor of worse cancer-specific survival (CSS) and is associated with poor responses to chemotherapy and radiotherapy (3-5).

Bladder SqCC is closely linked to chronic inflammation (6,7). The primary role of inflammatory cells within the tumor micro-environment (e.g., Tumor-infiltrating lymphocytes, TILs) is to distinguish malignant cells (8). The programmed cell death ligand-1 (PD-L1) pathway has distinct effects in immune regulation of anti-tumor hosts. By binding to its receptor in the micro-environment of TILs, PD-L1 may result in suppression of immune cells (8,9). PD-L1 expression, identified by immunohistochemical staining, could be an independent prognostic marker (10).

PD-L1 has been shown to be expressed in approximately 15–35% of urothelial carcinomas obtained from patients' post-cystectomy (11). In contrast, PD-L1 expression in urinary bladder SqCC was reported as 64.7% in Udager *et al.*'s study (3), and as 66.9% in Owyong *et al.*'s study (12). However, 80% patients in Owyong *et al.*'s study have schistosomiasis associated SqCC, and the schistosomiasis associated SqCCs are different from non-schistosoma-associated ones in epidemiology, pathogenesis and prognosis (12).

Since 2016, five immune checkpoint inhibitors (ICIs) have been introduced for metastatic urinary bladder carcinoma (mUBC) as second-line treatment of post platinum-based chemotherapy or for cisplatin-ineligible patients (13). However, only 20-30% patients with mUBC (metastatic urothelial bladder carcinoma) achieved a partial or complete response to immune-checkpoint inhibitors, which could be interpreted by relatively lower expression of PD-L1 (15–35%) in urothelial carcinoma (14). The etiology and pathogenesis of SqCC are closely related to inflammation, and the poor prognosis requires special attention. Therefore, it is meaningful to study the expression of PD-L1 in SqCC, which could also provide basic/experimental evidence for clinical immunotherapy studies. In the current study, we aimed to explore the expression and prognostic value of PD-L1 in non-

schistosoma-associated urinary bladder SqCC under the consideration of TILs density.

Methods

Patient selection

We enrolled 604 muscle-invasive bladder cancer patients who underwent radical cystectomy (RC) from January 2009 to December 2013 in our center. A total of sixty-seven cases were pathologically diagnosed as non-schistosoma-associated bladder SqCC, including pure SqCC (n=19) and mixed SqCC (n=48, with UC) (15). Patients were excluded if they had any of the following conditions: (I) patients with previously diagnosed cancers or autoimmune diseases; (II) patients with incomplete clinical data and unavailable cystectomy specimens; (III) patients who underwent neoadjuvant or adjuvant chemotherapy and/or radiation therapy; (IV) patients with positive surgical margin status; (V) patients who were lost to follow-up. The research was approved by the Ethics Committee, Peking University First Hospital. Informed consents were obtained from all participants in the study. Clinical and pathological information was retrospectively collected from the medical record library database. The pTNM staging was based on the 7th IUCC/AJCC recommendations.

Cystectomy specimens

Formalin-fixed paraffin-embedded surgical specimens were stained using hematoxylin and eosin (H&E). The TILs density was marked as low (scattered and rare infiltration of lymphocytes) or high (dense infiltration of lymphocytes) (16) (*Figure 1*).

The paraffin-embedded carcinoma tissue was utilized for immunohistochemistry (IHC). Obtained from paraffin-embedded cystectomy specimens, 3- μ m-thick sections were utilized for the determination of PD-L1 expression. The slides were incubated with a primary PD-L1 antibody [Trade name: PD-L1 (E1L3N[®]) XP[®]; Rabbit; Dilution: 1:200; Corp: Cell Signaling Technology, USA] (17). Then a secondary antibody was used [Trade name: R.T.U Biotinylated Goat Anti-Rabbit IgG Antibody (Ready-to-Use); Corp: Vector, USA] (17). The slides were then image developed with 3,3'-diaminobenzidine (DAB) kit (VECTASTAIN[®] ABC kits; Corp: Vector, USA) (17).

In the tumor microenvironment, we described PD-L1 expression as the percentage of cells showing immune

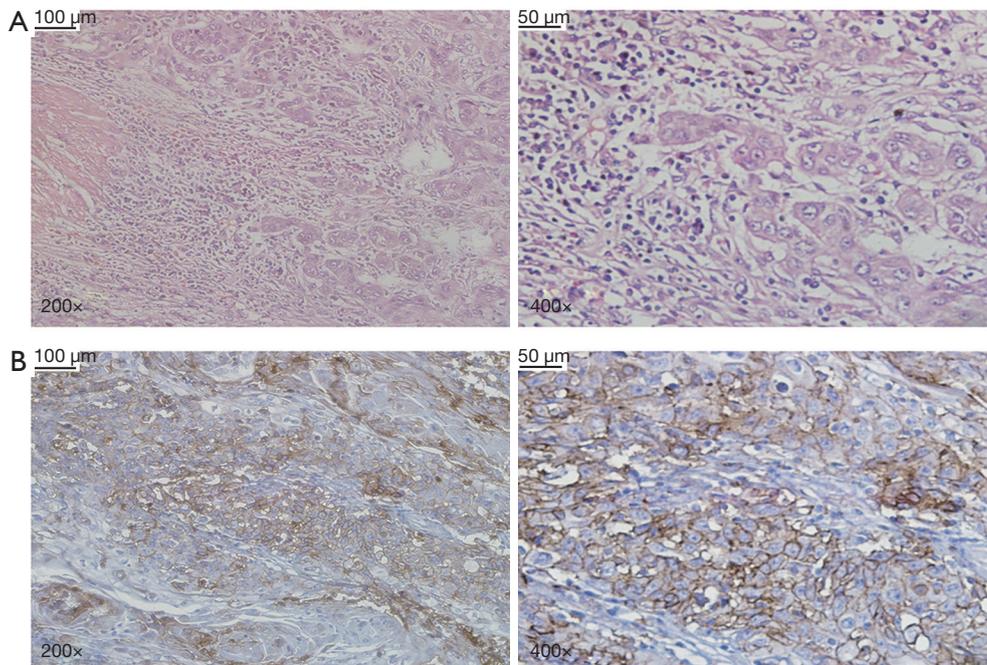


Figure 1 Immunohistochemistry (IHC) staining of bladder squamous cell carcinoma (SqCC). (A) Dense tumor-infiltrating lymphocytes (TILs). (B) expression of PD-L1 on bladder SqCC.

reactivity on the cell membrane (*Figure 1*). Whole areas were surveyed microscopically at 40 magnifications to identify focally stained regions. In cases of multiple areas of focal staining, three randomly selected areas were scored. Percentage of PD-L1 positive cells among total cells within focally stained regions was measured. Positive expression of PD-L1 was described as >5% membranous staining (18,19). Two experienced observers independently graded the staining with Image-Pro software (Corp: Media Cybernetics, Version 7th; USA) (17). When a discrepancy occurred, a third pathologist would examine the slides and determine the final score.

Statistical analysis

SPSS software (Corp: IBM, Version 20th; USA) was used in the statistical analysis. Student's *t* test was used to compare groups, and Fisher's test to determine differences (17). We used Kaplan-Meier (K-M) method to estimate overall survival (OS) as well as progression-free survival (PFS) (20). We utilized Cox regression models to perform the univariate and multivariable survival analysis. All the statistics were two-sided, and statistically significant was considered at P value <0.05.

Results

Demographic and clinical features

Table 1 showed the patients' clinical characteristics as well as the demographic features. Generally, patients (median age 67.4 years) including 9 women and 58 men were enrolled in the study. There were 15 stage T1–2 patients and 52 stage T3–4 patients. There were 40 patients without lymph node metastasis and 27 patients with N1–2 lymph node metastasis. According to IHC, PD-L1 was expressed in 41/67 cases (61.2%). The expression of PD-L1 in the group with high TILs density (29/37, 78.4%) was higher than group with low TILs density (12/30, 40.0%, $P=0.002$). In addition, PD-L1 expression was independent of tumor grade, T stage and N stage.

Univariate analysis of survival outcomes

The range of follow-up period was 2 to 79 months (median: 25 months). Thirty-nine out of 67 patients (58.2%) developed specific recurrence or mortality of bladder cancer, and the rate of OS was 56.7% (38/67). According to K-M curves (shown in *Figure 2*), patients with positive

Table 1 Demographic and clinical characteristics of the study cohort

Variables	Total, N=67	PD-L1 negative, N=26	PD-L1 positive, N=41	P value
Sex, n (%)				
Male	58 (86.6)	23 (39.7)	35 (60.3)	0.511
Female	9 (13.4)	3 (33.3)	6 (66.7)	
Age (years), median (range)	68.0 (42.0–88.0)	64.5 (49.0–88.0)	71.00 (42.0–85.0)	0.100
SqCC, n (%)				
Pure	19 (28.4)	6 (31.6)	13 (68.4)	0.580
Mixed	48 (71.6)	20 (41.7)	28 (58.3)	
Grade, n (%)				
G2	4 (6.0)	1 (25.0)	3 (75.0)	0.494
G3	63 (94.0)	25 (39.7)	38 (60.3)	
T staging, n (%)				
T1–T2	15 (22.4)	3 (20.0)	12 (80.0)	0.134
T3–T4	52 (77.6)	23 (44.2)	29 (55.8)	
N staging, n (%)				
N0	40 (59.7)	12 (30.0)	28 (70.0)	0.081
N1–N2	27 (40.3)	14 (51.9)	13 (48.1)	
TILs, n (%)				
Low	30 (44.8)	18 (60.0)	12 (40.0)	0.002*
High	37 (55.2)	8 (21.6)	29 (78.4)	

PD-L1, programmed cell death-ligand 1; SqCC, squamous cell carcinoma; TILs, tumor-infiltrating lymphocytes, Mixed=SqCC with urothelial carcinoma. *, P<0.05.

PD-L1 expression had an OS rate of 53.7% (22/41), and the average survival time was 52 months [95% confidence interval (CI): 33.1–70.9 months], which was obviously longer than PD-L1 negative patients (7 of 26, rate: 26.9%; average survival time: 18 months) (95% CI: 9.3–26.7 months, P=0.026) (21). OS rate of dense TILs cases was obviously better than sparse TILs cases [19/37 (51.4%) vs. 10/30 (33.3%), P=0.044]. Twenty-two in 41 (rate: 53.7%) PD-L1 positive cases and 6 in 26 (rate: 23.1%) PD-L1 negative cases did not suffer from disease progression (P=0.012). We did not observe a significant difference in disease progression between patients with high and low density of TILs.

We further examined the influence of PD-L1 expression on survival outcomes in combination with the density of TILs (Figure 3). According to Figure 3, positive PD-L1 combined with dense TILs cases had the highest OS rate (P=0.021) as well as PFS rate (P=0.028) (21). Nevertheless, we could not

find a significant difference in OS or PFS between cases with PD-L1 positive tumors with a low density of TILs, PD-L1 negative tumors with a high density of TILs, and PD-L1 negative tumors with a low density of TILs.

Multivariate analysis of survival outcomes

We utilized Cox regression models for analyzing the OS and PFS (Table 2) risk factors. Positive PD-L1 expression together with high density of TILs turned out to be independent protective factors for OS [expression of PD-L1: hazard ratio (HR) =0.402, P=0.022; high density of TILs: HR =0.344, P=0.010] as well as PFS (expression of PD-L1: HR =0.394, P=0.018; high density of TILs: HR =0.347, P=0.009) (21). Compared with patients with mixed SqCC, patients with pure SqCC exhibited worse OS (HR =2.361, P=0.038). What's more, lymph node metastasis was independently linked with worse OS and PFS.

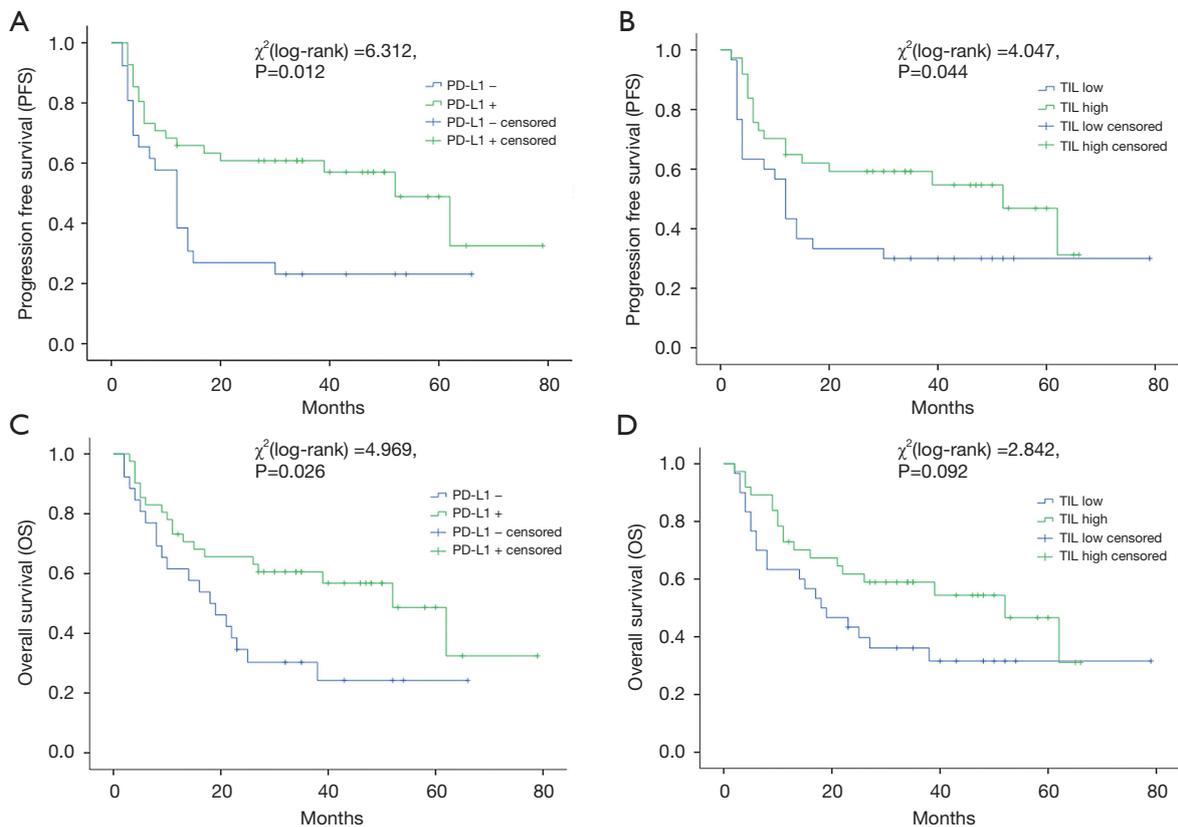


Figure 2 Kaplan-Meier curves of the progression-free survival (PFS) and overall survival (OS) for the whole study cohort. Kaplan-Meier curves of the PFS for the whole study cohort: (A) PD-L1 positive cases versus PD-L1 negative cases; (B) cases with a high density of tumor-infiltrating lymphocytes (TILs) versus cases with low density of TILs. Kaplan-Meier curves of the OS for the whole study cohort: (C) PD-L1 positive cases versus PD-L1 negative cases; (D) cases with a high density of TILs versus cases with low density of TILs.

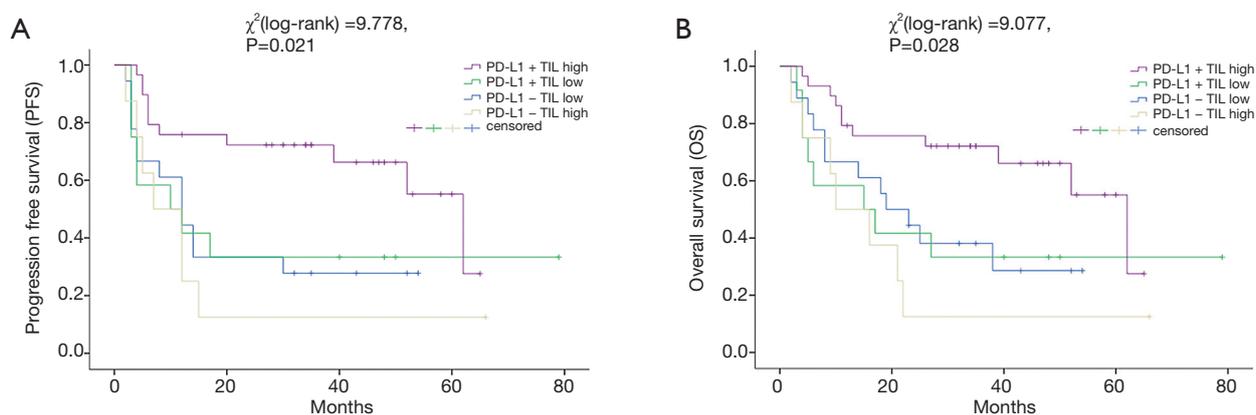


Figure 3 The influence of the PD-L1 expression on survival outcomes in combination with the density of tumor-infiltrating lymphocytes (TILs): Kaplan-Meier curves of the (A) progression-free survival (PFS) and (B) overall survival (OS) for the whole study cohort.

Table 2 Multivariate analysis of prognostic factors for progression-free survival and overall survival

Variables	OS		PFS	
	HR (95% CI)	P value	HR (95% CI)	P value
Sex		0.297		0.466
Female	1.000 (Ref.)		1.000 (Ref.)	
Male	1.677 (0.635–4.431)		1.428 (0.547–3.728)	
Age	1.027 (0.995–1.061)	0.100	1.024 (0.992–1.057)	0.141
SqCC		0.038*		0.053
Mixed	1.000 (Ref.)		1.000 (Ref.)	
Pure	2.361 (1.049–5.316)		2.225 (0.991–4.997)	
Grade		0.950		0.917
G2	1.000 (Ref.)		1.000 (Ref.)	
G3	1.069 (0.134–8.548)		1.117 (0.140–8.882)	
T staging		0.162		0.148
pT1–2	1.000 (Ref.)		1.000 (Ref.)	
pT3–4	2.189 (0.729–6.569)		2.239 (0.751–6.679)	
N staging		0.006*		0.017*
pN0	1.000 (Ref.)		1.000 (Ref.)	
pN1–2	2.746 (1.340–5.628)		2.349 (1.167–4.731)	
PD-L1		0.022*		0.018*
Negative	1.000 (Ref.)		1.000 (Ref.)	
Positive	0.402 (0.184–0.877)		0.394 (0.183–0.850)	
TILs		0.010*		0.009*
Low	1.000 (Ref.)		1.000 (Ref.)	
High	0.344 (0.152–0.778)		0.347 (0.158–0.764)	

*, $P < 0.05$. PD-L1, programmed cell death-ligand 1; SqCC, squamous cell carcinoma; TILs, tumor-infiltrating lymphocytes; Mixed, SqCC with urothelial carcinoma; PFS, progression-free survival, OS, overall survival; HR, hazard ratio.

Discussion

PD-L1 expression and TILs in urinary bladder SqCC tumors

Non-schistosoma associated urinary bladder SqCC is of low incidence with poor long-term survival outcomes (3–5). Different from other carcinomas, non-schistosoma associated urinary bladder SqCC is more frequent in patients with chronic inflammation, urinary tract calculi or chronic bladder outlet obstruction (22). In other organs' SqCC, like cervical SqCC, pulmonary SqCC as well as penile SqCC, the positive PD-L1 expression was reported to be higher compared with other subtypes of carcinomas

(e.g., PD-L1 expression in lung cancer: SqCC is 52%, whereas adenocarcinoma is 17%) (18,23–25).

To the best of our knowledge, this is the first study to report the expression as well as prognostic significance of PD-L1 and the tumor microenvironment in non-schistosoma-associated urinary bladder SqCC. The expression of PD-L1 with a 1% or 5% cut-off for positivity has been investigated in bladder cancer (26). The incidence of PD-L1 expression in post-cystectomy specimens of urothelial carcinomas was reported to be approximately 15–35% (11,19,26). The expression of PD-L1 in mBUC may be related to response to immunotherapy (14). Previous studies have shown that PD-L1 is highly expressed in

bladder SqCC. However, their results are limited because of small sample sizes [17 cases in Udager *et al.* (3)] or less representative as to schistosomiasis-associated SqCC [Owyong *et al.* (12)]. Therefore, it is important to study the expression of PD-L1 in non-schistosoma-associated bladder SqCC, which could also provide important information for subsequent immunotherapy studies. In our study, 41 out of 67 (61.2%) patients with urinary bladder SqCC had PD-L1 expression. Therefore, there appears to be a vital difference between PD-L1 expression in the major histological subtype of bladder cancer and bladder SqCC. The following reasons may explain that huge diversity. Bladder cancer is usually molecularly heterogeneous, particularly in the basal-squamous subtype (27). Nowadays, there is a tendency to view SqCC as a phenotype (the basal-squamous subtype) with common characteristics, namely, the expression of basal and stem-like markers (CD44, KRT5, KRT6A, KRT14) and SqCC markers (TGM1, DSC3, PI3), less frequent polysomy and genetic alterations and more frequent loss of chromosome 3p (2). What's more, non-schistosoma associated bladder SqCC is more closely associated with chronic inflammation than other histological subtypes (6,7,12), and might cause a general inflammatory response (28-30) as well as adaptive immune resistance with PD-L1 upregulation. Further, more studies at the molecular level are imperative.

Prognostic value of PD-L1 expression and TILs in urinary bladder SqCC tumors

Despite that some previous studies showed that positive PD-L1 expression was related to poor outcome of several malignancies (31), this matter remains controversial. Gabrielson *et al.* found that positive PD-L1 staining predicted a lower rate of recurrence as well as prolonged recurrence-free survival (RFS) in hepatocellular carcinoma (32). Schalper *et al.* reported PD-L1 mRNA expression was identified in nearly 60% of breast cancers and was associated with increased infiltration of TILs and improved RFS (33). Toyokawa *et al.* reported favorable disease-free survival was associated with PD-L1 expression in patients with surgically resected small-cell lung cancer (34).

Our results demonstrated that PD-L1 expression as well as high density of TILs were associated with better survival outcomes in non-schistosoma associated urinary bladder SqCC. This was consistent with Owyong *et al.*'s finding that PD-L1 expression was a favorable prognostic factor (in majority schistosomiasis associated bladder

SqCC) (12). The balance of the host's immune response and negative feedback inhibition could dominate outcome (35). The expression of PD-L1 in tumor microenvironment might reflect the presence of antigen-induced anti-tumor immune pressure mediated by TILs, and TILs recruited to the tumor microenvironment could still induce a partial anti-tumor effect (8,36). Therefore, PD-L1 positivity may signify tumors that have elicited an immune response, and are more likely to associate with better survival (37).

Our results suggest that bladder SqCC should be included in clinical trials to further observe whether survival benefits can be obtained. Our study has some limitations. As a retrospective study from a single medical center, selection bias was inevitable. Our findings need to be confirmed with larger samples and multi-center studies in the future.

Conclusions

PD-L1 expression and TILs were found in non-schistosoma-associated urinary bladder SqCC. PD-L1 expression profile was related to the density of TILs. In patients with non-schistosoma-associated urinary bladder SqCC, positive PD-L1 expression and dense TILs were associated with better survival outcomes.

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Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tau.2020.02.12>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related

to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the institutional ethics committee of Peking University First Hospital [approval number: 2016[1037]]. Because it was a retrospective analysis of routine data, a waiver of written informed consent was granted from the ethics committee. Patient records or information were anonymous and de-identified prior to analysis.

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